

13281  
123103

U.S.PTO

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

<i>Attorney Docket No.</i>	501.40803VX1
<i>First Inventor</i>	KURODA, KENICHI
<i>Title</i>	SEMICONDUCTOR DEVICE WITH AN ACTIVE REGION AND PLURAL DUMMY REGIONS

*Express Mail Label No.*
**APPLICATION ELEMENTS**  
*SEE MPEP chapter 600 concerning utility patent application contents.*
**ADDRESS TO:**  
 Mail Stop Patent Application  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

17497 US PTO 10/748139 123103

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
*See 37 CFR 1.27.*
3.  Specification **[Total Pages: 43]**  
*(preferred arrangement set forth below)*  
 -Descriptive title of the invention  
 -Cross Reference to Related Applications  
 -Statement Regarding Fed sponsored R & D  
 -Reference to sequence listing, a table,  
 or a computer program listing appendix  
 -Background of the Invention  
 -Brief Summary of the Invention  
 -Brief Description of the Drawings (*if filed*)  
 -Detailed Description  
 -Claim(s)  
 -Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) **[Total Sheets: 16]**
5. Oath or Declaration **[Total Sheets: 4]**  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*  
 i.  **DELETION OF INVENTOR(S)**  
*Signed statement attached deleting inventor(s)  
 Named in the prior application, see 37 CFR  
 1.63(d)(2) and 1.33(b)*
6.  Application Data Sheet. See 37 CFR 1.76

7.  CD-ROM or CD-R in duplicate, large table or  
 Computer Program (*Appendix*)  
 8. Nucleotide and/or Amino Acid Sequence Submission  
*if applicable, all necessary*  
 a.  Computer Readable Form (CRF)  
 b. Specification Sequence Listing on:  
 i.  CD-ROM or CD-R (2 copies); or  
 ii.  paper  
 c.  Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS		
9. <input type="checkbox"/>	Assignment Papers (cover sheet & documents(s))	
10. <input type="checkbox"/>	37 CFR 3.73(b) Statement	<input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>
11. <input type="checkbox"/>	English Translation Document ( <i>if applicable</i> )	
12. <input checked="" type="checkbox"/>	Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/>	Preliminary Amendment	
14. <input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	
15. <input type="checkbox"/>	Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>	
16. <input type="checkbox"/>	Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
17. <input checked="" type="checkbox"/>	Other: <b>Claim for F.R. Priority; Figs. 1-2, 3a, 3b, 4-23,      24a-24b, 25, 26a-26b, 27-29;</b>	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)    of prior application No.: 09/985,309
Prior application information: Examiner: **D. Farahani**Art Unit: **2814**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number	020457		OR <input type="checkbox"/> Correspondence address below
Name	ANTONELLI, TERRY, STOUT & KRAUS, LLP		
Address			
City	State	Zip Code	
Country	Telephone	(703) 312-6600	Fax (703) 312-6666
Name (Print/Type)	Larry N. Anagnos		Registration No. (Attorney/Agent) 32,392
Signature	<i>Larry N. Anagnos</i>		Date December 31, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1,046.00)

Complete if Known

Application Number	R53(b) DIV. of S.N. 09/985,309
Filing Date	December 31, 2003
First Named Inventor	KURODA, KENICHI
Examiner Name	D. Farahani
Art Unit	2814
Attorney Docket No.	501.40803VX1

## METHOD OF PAYMENT (check all that apply)

 Check     Credit Card     Money Order     Other Order     None
 Deposit Account:

Deposit Account Number	01-2135
Deposit Account Name	Antonelli, Terry, Stout & Kraus, LLP

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below     Credit any overpayments
- Charge any additional fee(s) during the pendency of this application.
- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee	Fee Code (\$)	Small Entity Fee	Fee Code (\$)	Fee Description
1001 770	2001	385	130	Utility filing fee
1002 340	2002	170	130	Design filing fee
1003 530	2003	265	130	Plant filing fee
1004 770	2004	385	130	Reissue filing fee
1005 160	2005	80	130	Provisional filing fee

SUBTOTAL (1) 770

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Fee from Extra Claims below				Fee Paid
Total Claims 21	-20** = 1	x 18	= 18	770
Indep. Claims 6	-3** = 3	x 86	= 258	

## Multiple Dependent

Large Entity Description	Small Entity Fee	Fee Code (\$)	Fee	Fee Description	Fee Paid
1202 18	2202 9			Claims in excess of 20	770
1201 86	2201 43			Independent claims in excess of 3	
1203 290	2203 145			Multiple dependent claim, if not paid	
1204 86	2204 43			** Reissue independent claims over original patent	
1205 18	2205 9			** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$ 276.00

\*\*or number previously paid, if greater; For Reissues, see above.

## 3. ADDITIONAL FEES

## Large Entity

## Small Entity

Fee Code (\$)	Fee	Fee Code (\$)	Fee	Fee Description
1051 130	2051	65		Surcharge – late filing fee or oath
1052 50	2052	25		Surcharge – late provisional filing fee or cover sheet
1053 130	1053	130		Non-English specification
1812 2,520	1812	2,520		For filing a request for ex parte reexamination
1804 920*	1804	920*		Requesting publication of SIR prior to Examination action
1805 1,840	1805	1,840*		Requesting publication of SIR after Examiner action
1251 110	2251	55		Extension for reply within first month
1252 420	2252	210		Extension for reply within second month
1253 950	2253	475		Extension for reply within third month
1254 1,480	2254	740		Extension for reply within fourth month
1255 2,010	2255	1,005		Extension for reply within fifth month
1401 330	2401	165		Notice of Appeal
1402 330	2402	165		Filing a brief in support of an appeal
1403 290	2403	145		Request for oral hearing
1451 1,510	1451	1,510		Petition to institute a public use proceeding
1452 110	2452	55		Petition to revive – unavoidable
1453 1,330	2453	665		Petition to revive – unintentional
1501 1,330	2501	665		Utility issue fee (or reissue)
1502 480	2502	240		Design issue fee
1503 640	2503	320		Plant issue fee
1406 130	1460	130		Petitions to the Commissioner
1807 50	1807	50		Processing fee under 37 CFR 1.17(q)
1806 180	1806	180		Submission of Information Disclosure Stmt
8021 40	8021	40		Recording each patent assignment per property (times number of properties)
1809 770	2809	385		Filing a submission after final rejection (37 CFR § 1.129(a))
1810 770	2810	385		For each additional invention to be examined (37 CFR § 1.129(b))
1801 770	2801	385		Request for Continued Examination (RCE)
1802 900	1802	900		Request for expedited examination of a design application

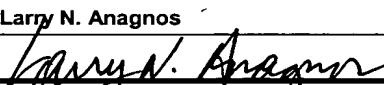
Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Larry N. Anagnos	Registration No. (Attorney/Agent)	32,392	Telephone	703-312-6600
Signature				Date	12/31/03

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